

AUDIT AND GOVERNANCE COMMITTEE MEETING

15 OCTOBER 2024

AUDIT AND GOVERNANCE COMMITTEE WORK PROGRAMME

Report of the Governance and Assurance Manager

1.0 Purpose of the Report

1.1 To present the latest Audit and Governance Work Programme attached at Appendix 1.

2.0 Recommendations

2.1 Members are asked to note the work programme attached at Appendix 1.

3.0 Background

3.1 The Audit and Governance Committee is established under Schedule 5A of the Local Democracy, Economic Development and Construction Act 2009, in order to:

- (a) Review and scrutinise the authority's financial affairs,
- (b) Review and assess the authority's risk management, internal control and corporate governance arrangements,
- (c) Review and assess the economy, efficiency and effectiveness with which resources have been used in discharging the authority's functions, and
- (d) Make reports and recommendations to the combined authority in relation to reviews conducted regarding the above.

3.2 The Committee maintains and agrees a work programme throughout the year to carefully manage its responsibilities.

3.3 The Combined Authority, and its Audit and Governance Committee, are developing at pace and its work programme will grow throughout the remainder of this municipal year. It is important that the work programme remain fluid in this period to allow the Committee to remain responsive to emerging issues and shifting timescales.

4.0 Other Work

4.1 The Committee participated in a training and induction session on 1 October 2024 covering Assurance at the Combined Authority, presented by the Combined Authority's Head of Assurance.

5.0 Financial Implications

5.1 There are no financial implications associated with this report.

6.0 Legal Implications

6.1 There are no legal implications associated with this report.

7.0 Equalities Implications

7.1 There are no equalities implications associated with this report.

8.0 Environmental Implications

8.1 There are no environmental implications associated with this report.

9.0 Combined Authority Areas Impacted (Council Areas/Wards/Divisions)

9.1 All areas.

10.0 Recommendations

10.1 That the work programme at Appendix 1 be noted.

11.0 Reasons For Recommendations

11.1 To effectively manage the Committee's programme of work.

12.0 Contact Details

For further information please contact the authors of this Report.

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Date:	7 October 2024

Background papers:

- None

Appendices –

- Appendix 1 – Work Programme

AUDIT AND GOVERNANCE COMMITTEE

Programme of Work

		Oct-24	Jan-25	Apr-25
Induction / Training / General Introduction to Key Officers Introduction to Internal Auditors Introduction and Financial Overview Treasury Management Training Appointment of Chair/Vice Work Programme Update				
Internal Audit / Counter Fraud Internal Audit & Counter Fraud Plan Internal Audit & Counter Fraud Progress Annual Head of Internal Audit Report Annual Review of Internal Audit Charter Review of Counter Fraud Strategy and Policy Counter Fraud Annual Risk Assessment	V			
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Risk Management Risk Management Plan Corporate Risk Register Service Risk Registers Risk Strategy Risk Policy and Procedure Information Governance Key Projects	V			
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External Audit External Audit Plan External Audit progress - Value for Money / Audit External Audit Annual Report External Audit Letter of External Auditor External Audit Grant letter External Audit Strategy Memorandum Appointment of External Auditors - PSAA	EA			
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	EA			
	EA			
	EA			
	s73			
Governance Members Code of Conduct Corporate Governance Ombudsman Annual Letter Business Continuity Planning Review of Constitution Assurance Framework Monitoring and Evaluation Framework Corporate Complaints Whistleblowing Policy Procurement and Contract Procedure Rules	MO			
	MO			
	MO			
	MO			
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s73				
Accountancy and Other Draft Accounts Final Accounts Treasury Management Report Financial Statements Review of Reserves and Balances Annual Governance Statement Partnership Governance Review of Treasury Management Policy (inc TMPs) Self assessment against the FM code Health & Safety	s73			
	s73			
	s73		Q3	Q4
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